

Certified Addiction Counselor Clinical Training Program

Handbook for Addiction Counselors

Section I through March 31, 2021

Section 2 effective April 1, 2021



Handbook for Addiction Counselors Section I through March 31 2021

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The Handbook for Addiction Counselors developed by the Office of Behavioral Health CAC Clinical Training Program is to help guide individuals interested in obtaining an addiction counselor credential in Colorado. The Handbook is designed to be used in conjunction with the OBH Addiction Counselor Certification and Licensure Rules, 2 CCR 502-1, 21.330, effective 5/1/2016, for an understanding of the certification and licensure process. It is the individual's responsibility to access and review the laws and rules for Addiction Counselor Certification and Licensure. Please be sure you are looking at the most recent version of this handbook.

Addiction Counselor Certification and Licensure Rules Information

The Colorado Department of Human Services approved and adopted the revised Addiction Counselor Certification and Licensure Rules (CAC Rules) effective May 1, 2016. A copy of these rules can be found on the OBH website:

https://cdhs.colorado.gov/behavioral-health/laws-rules
(click on browse rules at the Colorado Secretary of State website)

The CAC Rules establish a standard of excellence in training addiction counselors in Colorado to include:

- Clarification of the core competencies expected of addiction counselors
- Prerequisites for specific required training classes
- Education requirements for each certification level
- Increased emphasis on clinical supervision preparation and practice
- National examination requirements for CAC II, CAC III and LAC
- Five-year limit on training classes
- Five-year limit on national exam
- A simplified pathway for individuals who hold a clinical Master's or Doctorate degree and wish to acquire an addiction credential.

The CAC Clinical Training Program is designed to train addiction counselors to work effectively within a variety of treatment settings and with a variety of addictive behaviors. The required training classes for certification have been updated and realigned. All required trainings are expected to incorporate evidence-based science-driven practices currently being utilized in the addiction treatment field. If prerequisites are required, every student will be required to present certificates or transcripts as proof of having taken the prerequisite.



Addiction Counseling in Colorado

Certified and licensed addiction counselors possess a body of knowledge, skills, training and work experience in the treatment of addictive behaviors that distinguishes the addiction counseling profession from other health care professions. Preparation for a career as an addiction professional is available through OBH approved training and academic classes; skills development; clinically supervised work experience; and demonstration of knowledge by passing the required NAADAC exam. The potential applicant will need to access information from both the DORA and the OBH websites to create a career development plan.

Certification

Counselor certification is available to those individuals with various levels of academic preparation in the counseling field and is comprised of three levels:

- Certified Addiction Counselor Level I (CAC I) is defined as an entry-level certification.
- Certified Addiction Counselor Level II (CAC II) is defined as a counselor who may conduct addiction treatment services independently and may perform the complete range of duties associated with addiction treatment, with the exception of clinical supervision.
- Certified Addiction Counselor Level III (CAC III) has the authority to practice independently and assume clinical supervision duties.

Licensure

A Licensed Addiction Counselor (LAC) is a credentialing level that requires a **clinical** Master's degree, proof of passing the NAADAC MAC exam, and completion of all requirements for a CAC III.



Colorado Office of Behavioral Health (OBH) Colorado Department of Regulatory Agencies (DORA) NAADAC: The Association for Addiction Professionals Colorado Association of Addiction Professionals (CAAP)

OBH

The Colorado Office of Behavioral Health (OBH) is located in the Colorado Department of Human Services (CDHS). OBH is responsible for the management and oversight of the Certified Addiction Counselor (CAC) Clinical Training Program and establishes the education, training, supervised work experience standards and requirements for the addiction professional workforce.

https://cdhs.colorado.gov/behavioral-health/workforce-development

DORA

The Colorado Department of Regulatory Agencies (DORA) is the state agency that regulates the behavioral health professions in Colorado and is responsible for the registration, certification and licensure of addiction counselors. DORA establishes fees, evaluates the qualifications of applicants; approves certification and licensure for qualified practitioners; and investigates grievances against mental health professionals. https://dpo.colorado.gov/AddictionCounselor

NAADAC

NAADAC is the Association that advocates for addiction counseling professionals and service providers nationally and internationally. NAADAC's Mission "is to lead, unify and empower addiction focused professionals to achieve excellence through education, advocacy, knowledge, standards of practice, ethics, professional development and research." NAADAC has thousands of members and state affiliates in most states, provides relevant membership benefits, publishes the magazine "Addiction Professional" and the newsletter "NAADAC News." NAADAC offers national credentialing for addiction services providers (see below for details) and develops national examinations that can be used by any state as a requirement for state certification or licensure as is the case in Colorado. www.naadac.org

CAAP

CAAP is the Colorado state affiliate of NAADAC. CAAP is also known as the Society of Addiction Counselors of Colorado (SACC). CAAP represents the addiction counseling workforce in Colorado and is committed to offering its members many benefits, including educational opportunities and training and increased professional standing via representation at the local, state and national levels. Membership in NAADAC automatically confers membership in CAAP. www.caap.us



Pathways to Certification and Licensure

Certification and/or licensure for Addiction Counseling requires:

- Clinically Supervised Work Experience
- Addiction Specific Training and Education
- Proof of passing the designated NAADAC National Examination.

Pathway 1:

Those individuals who hold a High School diploma or equivalent are eligible to obtain a CAC I or CAC II; and those who hold a clinical Bachelor's degree in the behavioral health sciences are eligible to obtain a CAC III.

- CAC I (Certified Addiction Counselor, Level I) is considered entry-level. Individuals
 with a high school diploma or equivalent may obtain their CAC I by completing the
 required OBH approved training classes, and obtaining 1,000 hours of clinically
 supervised work experience.
- CAC II (Certified Addiction Counselor, Level II) allows the counselor to practice addiction treatment services independently (with the exception of clinical supervision). Individuals with a High School diploma or equivalent are eligible to obtain a CAC II. The applicant must meet all the requirements for a CAC I, complete the required number of clinically supervised work experience hours, successfully pass the CAC II required training classes, and present proof of passing a national examination. The CAC II applicant should obtain at least 2000 hours of clinically supervised work experience in the practice of addiction intervention and treatment beyond the hours earned for the CAC I to demonstrate their ability to practice addiction treatment independently.
- CAC III (Certified Addiction Counselor, Level III) is the supervisory level. A clinical Bachelor's degree in the behavioral health sciences is required. The applicant must meet all the requirements for a CAC II. The applicant for a CAC III must complete the required number of clinically supervised work experience hours, successfully pass the CAC III required training classes, and present proof of passing a national examination. The CAC III applicant should obtain at least 2000 hours of clinically supervised work experience in the practice of addiction intervention and treatment beyond the hours earned for the CAC II.

Pathway 2:

Counselors currently holding a **clinical** Master's or Doctorate behavioral health degree may obtain the CAC II or LAC after completion of appropriately supervised clinical work experience hours, OBH approved training classes (or the equivalent, or a combination thereof) and proof of passing the NAADAC Master Addiction Counselor (MAC) exam. (See pages 23, 24, 25)



Information every CAC applicant needs to know:

Applications for CAC I, CAC II, CAC III on DORA website through March 31, 2021:

CAC I: CAT application (attest to question #29 requirements for the CAC I are met)
CAC II or CAC III: CAS application(the CAS application will ask the applicant to attest to what level they are applying for, CAC II or CAC III, question #29)

*Per State Board of Addiction Counselors, applications received by March 31, 2021 will need to be **completed** applications for the requirements for CAC I, CAC II or CAC III. Beginning April 1, 2021 applications will need to meet the requirements at the CAT, CAS or LAC level. Incomplete applications on April 1 2021 will divert to the requirements for the CAT, CAS or LAC as per HB20-1206 https://dpo.colorado.gov/AddictionCounselor/ApplicationsDocs No further grandfathering or applications under the previous requirements will be accepted after March 31, 2021.

Training Class Time Limit: Five-Year Rule Clarification

• All required class training certificates must be submitted to DORA within five (5) years of the date of receipt or they will not be accepted by DORA.

Example: Applicant takes a class on 01-15-2015 and submits an application to DORA on 01-01-2021. This class is beyond the five-year limit and is therefore invalid.

Course Attendance

All CAC course work is to be completed by the student in the timeframe of the course. If there is a lapse in class time and the student was not able to complete the course, the course will need to be re-taken.

Prerequisites for Classes

It is the responsibility of the student to ensure prerequisites are met before enrolling in any course. Courses requiring prerequisites are:

- **Professional Ethics II** (prerequisite is Professional Ethics I for Bachelor's-level and below, no prerequisite for Master's and Doctorate level)
- Motivational Interviewing (prerequisite is Addiction Counseling Skills for Bachelor's level and below, no prerequisite for Master's and Doctorate level)
- Pharmacology II (prerequisite is Pharmacology I for all education levels)
- Clinical Assessment & Treatment Planning (prerequisite is Client Record Management for Bachelor's level and below)
- Advanced Motivational Interviewing (prerequisite is Motivational Interviewing for all education levels)Clinical Supervision II (prerequisites are Advanced Motivational Interviewing and Clinical Supervision I for all education levels)



Legal name and registration for courses

• An individual shall verify registrations and applications have their legal name to assure consistency. Following this procedure avoids possible rejection of an application by DORA due to the use of nicknames, partial names, initials, etc.

National Examination Time Limit

• A national examination taken and passed has an expiration date of five (5) years prior to the received date of application to DORA.

Degree Evaluation:

- Bachelor's Degree: Submission of a Bachelor's degree for certification at a CACIII level must be in a clinical behavioral health sciences specialty, with a focus on psychological, behavioral, social and cultural factors, and applies knowledge and tools in behavioral theory, research, assessment, program planning, and counseling. Generally, a human services, social work, or psychology degree will contain these elements but a sociology, nursing, or criminal justice degree will not. If you believe your degree qualifies, further information will be required of you, which may include an Educational Equivalency review. This will likely extend the review time needed for your application.
- Master's Degree or Doctorate: Submission of a clinical behavioral health Master's degree or Doctorate is required. Appropriate degrees include: community counseling, human services counseling, marriage and family therapy, clinical psychology, counseling psychology, human psychology, clinical social work, psychiatric nursing/advanced practice nursing, and addictionologist addiction medicine. Third party payers, licensure and certification boards, and regulatory organizations require degrees that have counseling courses specific to assessment, diagnosis, treatment planning, treatment, theories, skills, practicum, internship, etc. If you have a different degree but believe it meets the criteria, further information will be required of you, which may include an Educational Equivalency review. This will likely extend the review time needed for your application.
- An applicant who has a clinical behavioral health Master's or Doctorate degree and wants to apply directly for an LAC (no prior certification awarded) must complete all requirements for the CAC III and submit to DORA.



Certification Educational Requirements Tracking System (CERTS)

The Certification Educational Requirements Tracking System (CERTS) is an online software application contracted by the State of Colorado to track the successful completion of Certified Addiction Counselor (CAC) training courses. The CERTS maintains a transcript for every registered student.

As of January 1, 2018 all individuals seeking certification or licensure for addiction counseling are to be registered in CERTS and have a CERTS ID number entered into the student profile to get credit for any CAC courses attended and passed (this does not include courses on a college transcript). An applicant who has completed all coursework prior to January 1, 2018 but has not applied to DORA for the credential or licensure, will be able to send in certificates of completion as part of the application process to DORA.

An applicant applying for credentialing or licensure after January 1, 2018 is to be registered in the CERTS database for DORA to verify completed coursework. Certificates of completion will no longer be given.

An applicant will obtain ONE CERTS ID and pay the required fee for one level at a time within that CERTS ID number. Fees cover developing and maintaining the database, tracking for required trainings, and providing OBH, DORA, students and trainers access to transcripts. Additional fees may apply for tracking non-required coursework, and are subject to change without notice. It is advised an applicant refer to the CERTS website for up-to-date pricing.

To register with CERTS: http://www.colorado-certs.com and click "Register" button.



Work Verification Form and Documentation of Clinically Supervised Work Experience Hours

- Work experience hours shall be documented using the Work Verification Form (WVF) within the CAC application found on the DORA website. The WVF may be removed from the application and duplicated as needed. The supervisee keeps all originals of the Work Verification Forms in order to submit with their certification applications. It is strongly recommended that the counselor-in-training have a WVF signed periodically, but no less that quarterly, by the clinical supervisor that includes the time frame, number of hours worked and numbers of hours of clinical supervision provided monthly. The supervisee and the supervisor are responsible for keeping complete supervision records. Be aware that no more than 200 hours of work experience total will be accepted by DORA in any one-month period. (Be mindful of this when there is more than one clinical supervisor within the same time periods).
- When submitting your CAC application to DORA, all work experience hours that have been provided by <u>each</u> respective supervisor must be consolidated on one WVF signed by that supervisor. The applicant is responsible for verifying actual work experience hours to the supervisor's satisfaction. The clinical supervisor will complete the WVF and sign it. Please contact DORA for direction if you are unable to obtain the signature of a clinical supervisor of record on the consolidated Work Verification Form (WVF).
- The clinical supervisor(s) verifying work experience hours and supervision of an applicant must have personally provided the supervision hours documented on the WVF. Administrative supervisors may not provide nor sign for clinical supervision hours.
- Original signatures on the WVF are required. Copies will not be accepted by DORA.
 Signatures cannot be dated prior to the last date of work experience obtained or supervision provided.

Anyone who becomes aware of a CAC III or LAC clinical supervisor who is signing off on supervision hours that were not provided by that same person and that may result in a fraudulent application being submitted to DORA, should report this violation to DORA. Here is the specific wording on the WVF relevant to the clinical supervisor:

I affirm that the experience and supervision I have described on this form was conducted and completed in accordance with Title 12, Article 43, Colorado Revised Statutes and Addiction Counselor Certification and Licensure Standards. I further affirm that the supervisee's work towards the hours of experience, was conducted and completed under my supervision, and has met the generally accepted standards of practice. I declare under penalty of perjury in the second degree that the statements made in this application are true and complete to the best of my knowledge. In accordance with C.R.S. 18-8-501(2)(a)(l), false statements made herein are punishable by law and may constitute violation of the practice act.



Work Experience Hours Completed at Time of Application (applicant completed High School/GED or Bachelor's):

- CAC I 1,000 hours of work experience clinically supervised by a CAC III or LAC that cannot be completed in fewer than six months.
- CAC II 2,000 hours of work experience in addition to the CAC I clinically supervised by a CAC III or LAC that cannot be completed in fewer than twelve months. (This amounts to a total of 3,000 hours of work experience.)
- CAC III 2,000 hours of work experience in addition to the CAC II clinically supervised by a CAC III, LAC or other qualified supervisor* that cannot be completed in fewer than twelve months. (This amounts to a total of 5,000 hours of work experience.)

 * See definition of other qualified supervisor on page 11.

Note: Individuals accumulating work experience hours toward a CAC II must be properly listed and regulated by DORA in order for those hours to count. See page 14, DORA Requirements for Listing.

Clinical Supervision in CAC Rules (21.330.7)

The OBH Rules and Regulations, 2 CCR 502-1, Addiction Counselor Certification and Licensure Rules (21.330, eff. 5-1-2016) outline the requirements for the CAC III or LAC providing clinical supervision. The rules serve as a guide for the clinical supervisor in structuring and providing clinical supervision. Duties of the clinical supervisor shall include, but are not limited to, the following activities (OBH Rule, 2 CCR 501-1, 21.330.73 A-F):

- Documented observation of the supervisee's clinical practice by the supervisor that may include, but not be limited to: audio, video, two-way mirror, co-facilitation and/or direct observation.
- Monitoring of the supervisee's clinical practice to verify that the services being provided to individuals meet generally accepted standards of practice for addiction counselors.
- Review of individual charts maintained by the supervisee for accuracy, completeness, and timeliness.
- Verification of provision of mandatory disclosure form by the supervisee pursuant to Section 12-43-214, C.R.S.
- Verification that individuals are informed as to any changes in the supervisory relationship.
- Keeping and maintaining records documenting supervision that meets the generally accepted standards of practice pursuant to Section 12-43-222(1)(n), C.R.S.



The following is an excerpt from the OBH CAC Clinical Supervision Rules, 21.330.41 (eff 11/1/13) Clinically Supervised Work Experience:

"Clinically supervised work experience" is defined as paid or unpaid addiction specific counseling. Addiction counseling work experience does not have to be acquired in a substance use disorder treatment program licensed by the OBH, however it shall meet the criteria as stated in Sections 21.330.5, 21.330.6, and 21.330.7.

- A. Clinical supervision of work experience hours shall be provided by a CAC III or LAC in accordance with Section 21.330.7. Supervision for a CAC II or CAC III may also be provided by a qualified individual with education, training, and work experience in addiction counseling as determined by the OBH and licensure and certification boards.
- B. Counselors-in-training who are accumulating the first 1,000 clinically supervised work experience hours towards a CAC I shall be observed while providing addiction counseling a minimum of one time per month by their clinical supervisor of record and shall be documented by the supervisor.
- C. A counselor-in-training or the holder of a CAC I who is accumulating 2,000 clinically supervised work experience hours towards a CAC II shall be observed a minimum of one time per quarter (4x/yr) by their clinical supervisor of record and shall be documented by the supervisor.
- D. A counselor-in-training or the holder of a CAC II who is accumulating 2,000 clinically supervised work experience hours towards a CAC III shall be observed a minimum of two times per year by their clinical supervisor of record and shall be documented by the supervisor.
- E. Clinically supervised work experience hours may only be accumulated when an individual is working in the addiction treatment field and is at least eighteen (18) years of age.
- F. Individuals may accumulate clinically supervised work experience hours while receiving maintenance treatment for a substance use disorder or process addiction provided all other requirements of these rules are met.
- G. Individuals may not accumulate clinically supervised work experience hours while at the same time receiving primary treatment for substance use disorders or process addictions as this is considered a conflict of interest.
- H. Clinically supervised work experience hours may be accumulated while an individual is on probation or parole provided all other requirements of these rules are met. However, no applications for a CAC I, CAC II, CAC III or LAC shall be submitted to DORA while the applicant is on probation or parole as this is considered to be a conflict of interest.



Clinically Supervised Work Experience Hours

Clinical supervision hours may be prorated based on number of hours worked each month, but no less than one hour per month may be provided for the work experience hours to count towards certification.

For those individuals who hold a **High School degree or the equivalent**:

- Working toward applying for a CAC I or already awarded a CAC I: Direct clinical supervision by a CAC III or LAC only at a minimum of three hours per month for full time work.
- Working toward applying for a CAC II: Direct clinical supervision by a CAC III or LAC only at a minimum of three hours per month for full time work.
- <u>Already awarded CAC II:</u> Direct clinical supervision by a CAC III, LAC or other qualified supervisor* for two hours per month for full time work.

For those individuals who hold a clinical Behavioral Health Bachelor's degree:

- Working toward applying for a CAC II: Direct clinical supervision by a CAC III or LAC only at a minimum of three hours per month for full time work.
- <u>Already awarded CAC II:</u> Direct clinical supervision by a CAC III, LAC or other qualified supervisor* for two hours per month for full time work.
- Working toward applying for a CAC III with already awarded CAC II: Direct clinical supervision by a CAC III, LAC or other qualified supervisor* at a minimum of two hours per month for full time work.
- <u>Already awarded CAC III</u>: One hour of documented consultation per month when working in the field. (per OBH Rules and Regulations, 2 CCR 502-1).

For those individuals who hold a clinical Master's or Doctorate degree:

 Already awarded clinical Master's or Doctorate degree and working toward a CAC II or <u>CAC III:</u> Direct clinical supervision by a CAC III, LAC or other qualified supervisor* at a minimum of two hours monthly for full time work.

Clinical Supervision Required

Credential Working Towards	High School/GED Completion: Hours per month** of Supervision Required	Bachelor's Degree conferred: Hours per month** of Supervision Required*	Master's Degree Conferred: Hours of Supervision Required*
CAC I	3	3	n/a
CAC II	3	3	2
CAC III - with CAC II conferred	n/a	2	2
CAC III - without a CAC II conferred	n/a	3	2
LAC	n/a	n/a	2

^{*} If degree is not yet conferred, supervision hours per month must equal 3 hours for full time work.

*Other qualified supervisor means a behavioral health professional with demonstrated competence equivalent to a CAC III or LAC in addiction treatment by education and experience, clinical Master's degree or above, and documented minimum of 3,000 hours of addiction counseling work experience.

For further information regarding work experience hours and clinical supervision, refer to the Addiction Counselor Certification and Licensure Rules in the Office of Behavioral Health Consolidated Rules, 2 CCR 502-1, section 21.300.1 - 21.330.92 (eff. 5-1-2016).

^{**}See rules for prorating less than full-time work.



National Examinations Required for CAC II, CAC III and LAC Applicants

All applicants for a CAC II, CAC III and LAC under the Addiction Counselor Certification and Licensure Rules (eff. 5-01-2016) are required to take and pass a NAADAC national examination approved by OBH. Proof of passing the exam must be submitted to DORA with the certification/licensure application. The examination must be taken within five years prior to submission of an application.

NAADAC Examinations

OBH endorses the use of three examinations sponsored by NAADAC:

- NCAC I: National Certified Addiction Counselor, Level I (used for CAC II requirement)
- NCAC II: National Certified Addiction Counselor, Level II (used for CAC III requirement)
- MAC: Master Addiction Counselor (Master's level only)
 (used for LAC requirement)

CAAP's website, www.caap.us, has options for preparing for the national exams to include inperson workshops, online classes, and study guides that may be purchased. To take one of the national exams, register and make payment through CAAP on their website.

Recommendations:

- Those individuals <u>without</u> a <u>clinical</u> Master's degree may consider taking either the NCAC I (with high school diploma) or the NCAC II (with a Bachelor's degree).
- Those individuals who have graduated <u>with</u> a **clinical** Master's degree or above should take the MAC regardless of whether they apply for a CAC II, CAC III or LAC.
- Selection of which national examination should be taken depends on the applicant's professional development plan, level of academic degree, and long-term career goals.

Reminder: There is no national credential awarded or needed when applying to take one of these NAADAC sponsored exams. Successfully passing the required examination for State of Colorado requirements <u>does not</u> constitute national certification from NAADAC.



DORA Database Listing Requirements for Addiction Counselors

The Colorado Mental Health Practice Act is the law that regulates and defines the practice of psychotherapy in Colorado. The law states that all persons who engage in activities that meet the psychotherapy practice definition must be regulated by the DORA. Regulation means holding a Colorado clinical behavioral health credential awarded by DORA, either by certification or licensure.

Certified Addiction Counselor Level I (CAC I) is an entry-level gateway for the addiction professional's career path. The initial 1,000 hours of verified work experience for a Level I may not involve psychotherapy activities as defined in the Mental Health Practice Act, and therefore may not require registration. However, if the initial 1,000 hours include psychotherapy activities the applicant must be registered in the DORA database in order to receive work experience credit and be compliant with the Mental Health Practice Act. If an individual plans to accrue hours for a Level II certification (CAC II) without first achieving a level I (CAC I), then registration in the DORA database is required to be compliant.

First 1000 hours:

- ✓ Individuals working towards CAC I do not have to register with DORA prior to submission of application.
- ✓ Once the 1000 hours are met, the individual is not able to count hours or perform duties until the CAC I is officially approved
- ✓ No new applications for Registered Psychotherapist (now Unlicensed Psychotherapist) are being accepted by DORA as of July 14, 2020

Exemptions: Pursuant to § 12-43-215(8), C.R.S., employees of community mental health centers are exempted from the database registration requirement. More information is available at https://dpo.colorado.gov/AddictionCounselor/Laws

If the counselor-in-training has completed 1000 hours of work experience AND has completed all of the required training classes for a CAC I, it is recommended they apply for their CAC I.

Remember: At any point in time when an individual engages in activities that meet the definition of psychotherapy practice, regardless of status, they must be listed and regulated by DORA.



Online Jurisprudence Exam

All original applications submitted to DORA must be accompanied by the "passing results report" of the most recent version of the **Online Jurisprudence exam** located on DORA's website. Individuals renewing a certification/license are not required to take the online jurisprudence exam. The Mental Health Jurisprudence Examination results are valid for **one** (1)-year from the date of the Results Report, after which they expire. An applicant must retake the examination if their Results Report has expired.

Test items are weighted according to DORA's rating of importance. Test results are calculated and reported on a scale of 200 to 800. A minimum scaled score of 500 is needed to pass. Below are the proportion of exam points possible by content area:

- 1. General Mental Health Statutes (27%)
- 2. Confidentiality and Privilege (13%)
- 3. Prohibited Activities/Violations (16%)
- 4. Board Rules (19%)
- 5. Emergency Procedures (15%)
- 6. Disciplinary Provisions/Proceedings (10%)

The following is a general list of documents that may assist with the online jurisprudence exam:

- Online Jurisprudence Exam Information (DORA)
- Online Test User Guide (DORA)
- Colorado Mental Health Practice Act (most current year)
- OBH Rules and Regulations, 2 CCR 502-1, Addiction Counselor Certification and Licensure (21.300.1 21.330.92) (effective 5-2016)
- DORA State Board of Addiction Counselor Examiners Rules
- Addiction Counselor Board Rules (4 CCR 774-1)
- Registered Psychotherapists Rules and Regulations (02-2012)
- Mandatory Disclosure Form (DORA, 12-2011)
- Consent for Release of Information
- NAADAC Code of Ethics (10-2016)
- Reporting Suspected Child Abuse (CRS § 19-3-304)
- Healthcare Professions Profile Director Rule 1 (07-2011)
- Michael Skolnik Medical Transparency Act (§ 24-34-110)
- M-1 Emergency Mental Illness Report (§ 27-65-105)

DORA website:

https://dpo.colorado.gov/AddictionCounselor

The Mental Health Practice Act can be found in the Colorado Revised Statutes, Title 12, Article 43. https://dpo.colorado.gov/AddictionCounselor/Laws

Additional information available on the Office of Behavioral Health (OBH) website:

https://cdhs.colorado.gov/behavioral-health/workforce-development



Training Requirements for CAC I, II, III

CAC I High school diploma/GED

- Addiction Counseling Skills (21 hrs)
- Client Record

 Management (14 hrs)
- Principles of Addiction Treatment (21 hrs)
- Professional Ethics I: Ethics and Jurisprudence (14 hrs)
- Culturally Informed Treatment (14 hrs)
- Infectious Diseases in Addiction Treatment (14 hrs)
- Pharmacology I (14 hrs)

Total training hours = 112 1,000 hours of clinically supervised work experience

3 hrs of clinical supervision* per month for full time work

CAC II High school diploma/GED CAC I training plus:

- Professional Ethics II
 (14 hrs) Prerequisite is

 Professional Ethics I
- Motivational Interviewing (21 hrs) Prerequisite is Addiction Counseling Skills
- Cognitive Behavioral Therapy (14 hrs)
- Group Counseling Skills (21 hrs)
- Pharmacology II (14 hrs)
 Prerequisite is
 Pharmacology I
- Clinical Assessment & Treatment Planning
 (14 hrs) Prerequisite is
 Client Record Management
- Co-occurring Disorders (14 hrs)
- Trauma Informed Care for Diverse Populations (14 hrs)

Total training hours = 126

Additional 2,000 hours of clinically supervised work experience (beyond CAC I requirement) for a total of 3,000 hours

3 hrs of clinical supervision* per month for full time work

National Examination

CAC III <u>Bachelor's Degree in a</u> <u>Behavioral Health Science</u>

CAC II Certification plus:

- Clinical Supervision I (21 hrs)
- Advanced Motivational Interviewing (14 hrs) Prerequisite is Motivational Interviewing
- Clinical Supervision II
 (14 hrs) Prerequisites:
 Advanced Motivational
 Interviewing & Clinical
 Supervision I
- Professional Practice (7 hrs)

Total training hours = 56 Additional 2,000 hours of clinically supervised work experience (beyond CAC II requirement) for a total of 5,000 hours

2 hrs of clinical supervision* per month for full time work

National Examination

^{*}Three (3) hours of clinical supervision per month is required until the CAC II is awarded, then two (2) hours per month until the CAC III is awarded, and then one hour of consultation monthly. For less than full time work, supervision may be prorated but must be no less than one hour per month.



CAC Certification Tracking Sheet

For those individuals who hold a High School diploma or equivalent AND those who hold a Behavioral Health Bachelor's degree.

Require	ments for Certification	Date Completed
CAC I		·
	Addiction Counseling Skills (21 Hrs.)	
	Client Record Management (14 Hrs.)	
	Principles of Addiction Treatment (21 Hrs.)	
	Professional Ethics I: Ethics and Jurisprudence (14 Hrs.)	
	Culturally Informed Treatment (14 Hrs.)	
	Infectious Diseases in Addiction Treatment (14 Hrs.)	
	Pharmacology I (14 Hrs.)	
	1000 Clinically supervised work experience hours	
CAC II	All of the above plus:	
0,10	Professional Ethics II (14 Hrs.)	
	Motivational Interviewing (21 Hrs.)	
	Cognitive Behavioral Therapy (14 Hrs.)	
	Group Counseling Skills (21 Hrs.)	
	Pharmacology II (14 Hrs.)	
	Clinical Assessment and Treatment Planning (14 Hrs.)	
	Co-occurring Disorders (14 Hrs.)	
	Trauma Informed Care for Diverse Populations (14 Hrs.)	
	NAADAC ExamNCAC I recommended with high school degree	
	2000 Clinically supervised work experience hours for a total of 3000 hours	
CAC III	All of the above plus	1
CAC III	All of the above plus:	
	Clinical Supervision I (21 Hrs.)	
	Clinical Supervision II (14 Hrs.)	
	Advanced Motivational Interviewing (14 Hrs.)	
	Professional Practice (7 Hrs.)	
	Bachelor's degree in behavioral health science	
	NAADAC ExamNCAC II recommended for Bachelors level 2000 Clinically supervised work experience hours for a total of 5000 hours	

*Note: This form for personal use only, do not submit to DORA.



Requirements for CAC II for Those Who Hold a Clinical Master's or Doctorate Degree

Training:

Documentation of successful completion of the following OBH approved required training or its equivalent that must be completed within five (5) years prior to submitting an application to DORA:

- Principles of Addiction Treatment (21 clock hours)
- Infectious Diseases in Addiction Treatment (14 clock hours)
- Pharmacology I (14 clock hours)
- Pharmacology II (14 clock hours) (Prerequisite is Pharmacology I)
- Motivational Interviewing (21 clock hours)
- Group Counseling Skills (21 clock hours)
- Professional Ethics II (14 clock hours)

Clinically Supervised Work Experience:

Completion of 2,000 hours total of work experience in the addiction treatment field with direct clinical supervision by a CAC III, LAC or other qualified supervisor* at a minimum of two hours per month for full time work.

A clinical supervisor who is dually credentialed for addictions and mental health can provide concurrent clinical supervision to the supervisee.

Passage of a national examination sponsored by NAADAC:

NCAC II is required but the MAC exam is recommended if you plan to continue on for an LAC.

*Other qualified supervisor means a behavioral health professional with demonstrated competence equivalent to a CAC III or LAC in addiction treatment by education and experience, clinical Master's degree or above, and documented minimum of 3,000 hours of addiction counseling work experience.



Requirements CAC III or Licensed Addiction Counselor (LAC) for Those Who Hold a Clinical Master's or Doctorate Degree

Training:

Documentation of successful completion of the following OBH approved required training or its equivalent that must be completed within five (5) years prior to submitting an application to DORA:

- Principles of Addiction Treatment (21 clock hours)
- Infectious Diseases in Addiction Treatment (14 clock hours)
- Pharmacology I (14 clock hours)
- Motivational Interviewing (21 clock hours)
- Group Counseling Skills (21 clock hours)
- Pharmacology II (14 clock hours) (Prerequisite is Pharmacology I)
- Professional Ethics II (14 clock hours)
- Clinical Supervision I (21 clock hours)
- Clinical Supervision II (14 clock hours)
 (Prerequisite is both Advanced Motivational Interviewing and Clinical Supervision I)
- Advanced Motivational Interviewing (14 clock hours) (Prerequisite is Motivational Interviewing)

Clinically Supervised Work Experience:

Completion of 3,000 hours total of work experience in the addiction treatment field with direct clinical supervision by a CAC III, LAC or other qualified supervisor* at a minimum of two hours per month for full time work.

It is acceptable for students and new professionals post-graduation to work towards an addictions credential (CAC II, CAC III, LAC) while also working concurrently towards a mental health credential (LPC, LMFT, LCSW, PSY). If the clinician (student/new professional/supervisee) works with a client who has a co-occurring mental health and substance use disorder, and the work is integrated addressing both disorders at the same time, then each hour spent providing integrated care could count toward an addiction credential as well as a mental health credential, providing the clinician is being supervised by an individual(s) with the appropriate credential for each discipline as set forth in the DORA rules for each individual license and/or certification type.

Passage of a national examination sponsored by NAADAC:

The MAC exam is required for the LAC.

*Other qualified supervisor means a behavioral health professional with demonstrated competence equivalent to a CAC III or LAC in addiction treatment by education and experience, clinical Master's degree or above, and documented minimum of 3,000 hours of addiction counseling work experience.



Training Requirements Summary for CAC II, III & LAC for Those Who Hold a Clinical Master's or Doctorate Degree

CAC II <u>Training classes required with a</u> clinical Masters or Doctorate degree

- Principles of Addiction Treatment (21 hours)
- Infectious Diseases in Addiction Treatment (14 hours)
- Professional Ethics II (14 hours)
- Pharmacology I (14 hours)
- Pharmacology II (14 hours)
 Prerequisite is Pharmacology I
- Motivational Interviewing (21 hours)
- Group Counseling Skills (21 hours)

2000 hours of clinically supervised work experience

Two (2) hours of clinical supervision per month for full time work

NCACII or MAC National examination

CAC III <u>Training classes required with a</u> clinical Masters or Doctorate degree

- Principles of Addiction Treatment (21 hours)
- Infectious Diseases in Addiction Treatment (14 hours)
- Professional Ethics II (14 hours)
- Pharmacology I (14 hours)
- Pharmacology II (14 hours)
 Prerequisite is Pharmacology I
- Motivational Interviewing (14 hours)
- Group Counseling Skills (21 hours)
- Advanced Motivational Interviewing (14 hours) Prerequisite is Motivational Interviewing
- Clinical Supervision I (21 hours)
- Clinical Supervision II (14 hours) Prerequisites are Advanced Motivational Interviewing and Clinical Supervision I

Additional 1000 hours for a total of 3000 hours clinically supervised work experience

Two (2) hours of clinical supervision per month for full time work

MAC National examination

LAC <u>Must have a clinical</u> <u>Masters or Doctorate</u> <u>degree</u>

Meet all requirements for the CAC III and passage of the MAC exam



CAC II, CAC III and LAC Tracking Sheet for Those Who Hold a Clinical Master's or Doctorate Degree

Requirem	nents for Certification	Date Completed
CAC II	When applying for a CAC II:	·
	Principles of Addiction Treatment (21 Hrs.)	
	Infectious Diseases in Addiction Treatment (14 Hrs.)	
	Pharmacology I (14 Hrs.)	
	Pharmacology II (14 Hrs.)	
	Motivational Interviewing (21 Hrs.)	
	Group Counseling Skills (21 Hrs.)	
	Professional Ethics II (14 Hrs.)	
	MAC exam recommended*	
	2000 Clinically supervised work experience hours *If planning to apply for a LAC credential in future, the MAC will be required	
CAC III	When applying for a CAC III, all of the above plus:	
	Advanced Motivational Interviewing (14 Hrs.)	
	Clinical Supervision I (21 Hrs.) Clinical Supervision II (14 Hrs.) (Prerequisites are both Adv MI and Clinical Sup I)	
	MAC exam recommended*	
	3000 Clinically supervised work experience hours total *If planning to apply for a LAC credential in future, the MAC will be required	
LAC	Meet requirements for CAC III above	
	Passage of the MAC exam	

NAADAC requires that only those who hold a **clinical** Master's degree or above are eligible to sit for the Master Addiction Counselor (MAC) examination whether the exam is needed for a state requirement only or whether applying to NAADAC for a national credential.

*Note: This form for personal use only, do not submit to DORA.



Education Equivalency for Addiction Counselor Certification

When an applicant submits college courses on an application to the Center for Credentialing & Education (CCE) in lieu of one of the required training classes, it is the applicant's responsibility to demonstrate how their college course is equivalent to the Office of Behavioral Health (OBH) approved core competencies for that class. They are advised to compare the class syllabus to the core competency for the CAC required class. Anyone wishing to use college equivalents must submit the following to CCE (please be advised this process can take 4-6weeks):

- An official transcript from an accredited college or university in a sealed envelope.
 The courses must be in a behavioral health science or field. Each college class being offered as the equivalent of a CAC required class must have a grade of C or above.
- A cover letter with an attached syllabus and a written description about why the college class is equivalent to the CAC required class.
- A college course must offer college credits that are equivalent or greater than the number of clock hours required for the OBH training class.

Additional information is available on the CCE website: http://www.cce-global.org/Assets/StateLicensure/COappAddictionCounselors.pdf

College equivalency hours:

- One semester credit hour = 15 clock hours
- Two semester credit hours = 30 clock hours
- Three semester credit hours = 45 clock hours
- One quarter credit hour = 8.5 clock hours
- Two quarter credit hours = 17 clock hours
- Three quarter credit hours = 25.5 clock hours

CAC required classes that must be taken (No college course equivalency)

- Client Record Management, 14 hrs (unless have clinical MA or higher)
- Infectious Diseases in Addiction Treatment, 14 hrs
- Pharmacology I, 14 hrs
- Pharmacology II, 14 hrs
- Trauma Informed Care for Diverse Populations, 14 hrs (unless have clinical MA or higher)
- Clinical Supervision I, 21 hrs
- Clinical Supervision II, 14 hrs



CAC required classes that <u>may</u> have college equivalency (See core competencies posted on the OBH website)

https://cdhs.colorado.gov/behavioral-health/workforce-development (Click on Certified Addiction Counselor Clinical Training Program, click on Core Competencies for CAC Required Training Classes)

- Addiction Counseling Skills, 21 hrs
- Principles of Addiction Treatment, 21 hrs
- Culturally Informed Treatment, 14 hrs
- Motivational Interviewing, 21 hrs
- Cognitive-Behavioral Therapy, 14 hrs
- Professional Ethics I: Ethics and Jurisprudence, 14 hrs
- Professional Ethics II, 14 hrs
- Clinical Assessment and Treatment Planning, 14 hrs
- Co-Occurring Disorders, 14 hrs
- Group Counseling Skills, 21 hrs
- Advanced Motivational Interviewing, 14 hrs



Certified Addiction Counselor Clinical Training Program

Handbook for Addiction Counselors

Section 2 effective April 1, 2021



Handbook for Addiction Counselors Section 2 effective April 1, 2021

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Addiction Counselor Certification and Licensure Rules

The Addiction Counselor Clinical Training Program Rules establish a standard of excellence in training addiction counselors in Colorado which include:

- Clarification of the core competencies expected of addiction counselors
- Prerequisites for specific required training classes
- Education requirements for each level
- Increased emphasis on clinical supervision preparation and practice
- National examination requirements for CAT, CAS and LAC
- Five-year limit on training classes
- Five-year limit on national exam

The Addiction Counselor Clinical Training Program is designed to train addiction counselors to work effectively within a variety of treatment settings and with a variety of addictive behaviors. The required training classes for certification have been updated and realigned. All required trainings are expected to incorporate evidence-based science-driven practices currently being utilized in the addiction treatment field. If prerequisites are required, every student will be required to present transcripts as proof of having taken the prerequisite.

The Colorado Department of Human Services, Office of Behavioral Health Rules for Addiction Counselor Certification and Licensure rules are undergoing revisions and it is advised to check periodically for the most current version:

https://cdhs.colorado.gov/behavioral-health/laws-rules

(click on browse rules at the Colorado Secretary of State website)



Addiction Counseling in Colorado

Certified and licensed addiction counselors possess a body of knowledge, skills, training and work experience in the treatment of addictive behaviors that distinguishes the addiction counseling profession from other health care professions. Preparation for a career as an addiction professional is available through OBH approved training and academic classes; skills development; clinically supervised work experience; and demonstration of knowledge by passing the required NAADAC exam. The potential applicant will need to access information from both the DORA and the OBH websites to create a career development plan.

Certification

Counselor certification is available to those individuals with various levels of academic preparation in the counseling field and is comprised of three levels:

- Certified Addiction Technician (CAT) is a credentialing level that requires a high school diploma, proof of passing the NCAC I exam, and completion of all requirements at the CAT level.
- Certified Addiction Specialist (CAS) is a credentialing level that requires a behavioral health bachelor's degree, proof of passing the NCAC II exam, and completion of all requirements at the CAT and CAS level.

Licensure

A Licensed Addiction Counselor (LAC) is a credentialing level that requires a **clinical** behavioral health Master's degree, proof of passing the NAADAC MAC exam, and completion of all requirements for a CAT and CAS.

Certification and/or licensure for Addiction Counseling requires:

- Clinically Supervised Addiction Work Experience.
- Addiction Specific Training and Education.
- Proof of passing the designated NAADAC National Examination.
- Proof of passing the Mental Health Jurisprudence Examination.



Colorado Office of Behavioral Health (OBH) Colorado Department of Regulatory Agencies (DORA) NAADAC: The Association for Addiction Professionals Colorado Association of Addiction Professionals (CAAP)

OBH

The Colorado Office of Behavioral Health (OBH) is located in the Colorado Department of Human Services (CDHS). OBH is responsible for the management and oversight of the Certified Addiction Counselor (CAC) Clinical Training Program and establishes the education, training, supervised work experience standards and requirements for the addiction professional workforce.

https://cdhs.colorado.gov/behavioral-health/workforce-development

DORA

The Colorado Department of Regulatory Agencies (DORA) is the state agency that regulates the behavioral health professions in Colorado and is responsible for the registration, certification and licensure of addiction counselors. DORA establishes fees, evaluates the qualifications of applicants; approves certification and licensure for qualified practitioners; and investigates grievances against mental health professionals. https://dpo.colorado.gov/AddictionCounselor

NAADAC

NAADAC is the Association that advocates for addiction counseling professionals and service providers nationally and internationally. NAADAC's Mission "is to lead, unify and empower addiction focused professionals to achieve excellence through education, advocacy, knowledge, standards of practice, ethics, professional development and research." NAADAC has thousands of members and state affiliates in most states, provides relevant membership benefits, publishes the magazine "Addiction Professional" and the newsletter "NAADAC News." NAADAC offers national credentialing for addiction services providers (see below for details) and develops national examinations that can be used by any state as a requirement for state certification or licensure as is the case in Colorado. www.naadac.org

CAAP

CAAP is the Colorado state affiliate of NAADAC. CAAP is also known as the Society of Addiction Counselors of Colorado (SACC). CAAP represents the addiction counseling workforce in Colorado and is committed to offering its members many benefits, including educational opportunities and training and increased professional standing via representation at the local, state and national levels. Membership in NAADAC automatically confers membership in CAAP. www.caap.us



Information every addiction counselor applicant needs to know:

Training Class Time Limit: Five-Year Rule Clarification

- All required class training must be submitted to DORA within five (5) years of the date
 of receipt or they will not be accepted by DORA.
- All CAC Training courses will carry over to the April 1 2021 implementation date for CAT, CAS and LAC. Students will not have to re-take a passing CAC course (if within the 5yr time limit).

Example: Applicant takes a class on 01-15-2015 and submits an application to DORA on 01-01-2021. This class is beyond the five-year limit and is therefore invalid. However the applicant has one year following submission of the application in which to take the appropriate class to correct the application.

Course Attendance

All addiction counselor course work is to be completed by the student in the timeframe of the course. If there is a lapse in class time, online or live, the student will need to retake the course in its entirety.

Prerequisites for Courses

It is the responsibility of the student to ensure prerequisites are met before enrolling in any course. Courses requiring prerequisites are:

- **Group Counseling** (prerequisite: Addiction Counseling Skills)
- Motivational Interviewing (prerequisite: Addiction Counseling Skills)
- Advanced Case Conceptualization (aka Clinical Assessment & Treatment Planning) (prerequisite: Case Conceptualization and Documentation, aka Client Record Management)
- Advanced Motivational Interviewing (prerequisite: Motivational Interviewing)
- Advanced Pharmacology (aka Pharmacology II) (prerequisite: Pharmacology I)
- Clinical Supervision II (prerequisites: Advanced Motivational Interviewing and Clinical Supervision I)
- Advanced Professional and Ethical Practice (aka Professional Ethics II and Professional Practice) (prerequisite: Ethical Practice in Addiction Treatment)



Degree Evaluation:

- **Bachelor's Degree:** Submission of a Bachelor's degree for certification at a CAS level must be in a behavioral health sciences specialty, with a focus on psychological, behavioral, social and cultural factors, and applies knowledge and tools in behavioral theory, research, assessment, program planning, and counseling. Generally, a human services, social work, or psychology degree will contain these elements but a sociology, nursing, or criminal justice degree will not. If you believe your degree qualifies, further information will be required of you, which may include an Educational Equivalency review. This will likely extend the review time needed for your application.
- Master's Degree or Doctorate: Submission of a clinical behavioral health Master's degree or Doctorate is required. Appropriate degrees include: community counseling, human services counseling, marriage and family therapy, clinical psychology, counseling psychology, human psychology, clinical social work, psychiatric nursing/advanced practice nursing, and addictionologist addiction medicine. Third party payers, licensure and certification boards, and regulatory organizations require degrees that have counseling courses specific to assessment, diagnosis, treatment planning, treatment, theories, skills, practicum, internship, etc. If you have a different degree but believe it meets the criteria, further information will be required of you, which may include an Educational Equivalency review. This will likely extend the review time needed for your application.
- An applicant who has a clinical behavioral health Master's or Doctorate degree and wants to apply directly for an LAC (no prior certification awarded) must complete all requirements for the CAT and CAS and submit to DORA.



Certification Educational Requirements Tracking System (CERTS)

The Certification Educational Requirements Tracking System (CERTS) is an online software application contracted by the State of Colorado to track the successful completion of Addiction Counselor training courses. The CERTS maintains a transcript for every registered student.

As of January 1, 2018 all individuals seeking certification or licensure for addiction counseling are to be registered in CERTS and have a CERTS ID number entered into the student profile to get credit for any addiction courses attended and passed (this does not include courses on a college transcript).

An applicant applying for credentialing or licensure after January 1, 2018 is to be registered in the CERTS database for DORA to verify completed coursework. Certificates of completion will no longer be given.

An applicant will obtain ONE CERTS ID and pay the required fee for one level at a time within that CERTS ID number. Fees cover developing and maintaining the database, tracking for required trainings, and providing OBH, DORA, students and trainers access to transcripts. Additional fees may apply for tracking non-required coursework, and are subject to change without notice. It is advised an applicant refer to the CERTS website for up-to-date pricing.

To register with CERTS: http://www.colorado-certs.com and click "Register" button.



Work Verification Form and Documentation of Clinically Supervised Addiction Work Experience Hours

- Work experience hours shall be documented using the Work Verification Form (WVF) within the addiction counselor application found on the DORA website. The WVF may be removed from the application and duplicated as needed. The supervisee keeps all originals of the Work Verification Forms in order to submit with their certification or licensure applications. It is strongly recommended that the WVF is signed periodically, but no less that quarterly, by the clinical supervisor that includes the time frame, number of hours worked and numbers of hours of clinical supervision provided monthly. The supervisee and the supervisor are responsible for keeping complete supervision records. Be aware that no more than 200 hours of work experience total will be accepted by DORA in any one-month period. (Be mindful of this when there is more than one clinical supervisor within the same time periods).
- When submitting your certification or licensure application to DORA, all work
 experience hours that have been provided by <u>each</u> respective supervisor must be
 consolidated on one WVF signed by that supervisor. The applicant is responsible for
 verifying actual work experience hours to the supervisor's satisfaction. The clinical
 supervisor will complete the WVF and sign it. Please contact DORA for direction if you
 are unable to obtain the signature of a clinical supervisor of record on the
 consolidated Work Verification Form (WVF).
- The clinical supervisor(s) verifying work experience hours and supervision of an applicant must have personally provided the supervision hours documented on the WVF. Administrative supervisors may not provide nor sign for clinical supervision hours.
- Original signatures on the WVF are required. Copies will not be accepted by DORA.
 Signatures cannot be dated prior to the last date of work experience obtained or supervision provided.

Anyone who becomes aware of a clinical supervisor who is signing off on supervision hours that were not provided by that same person and that may result in a fraudulent application being submitted to DORA, should report this violation to DORA.

Here is the specific wording on the WVF relevant to the clinical supervisor:

I affirm that the experience and supervision I have described on this form was conducted and completed in accordance with Colorado Revised Statutes and Addiction Counselor Certification and Licensure Standards. I further affirm that the supervisee's work towards the hours of experience, was conducted and completed under my supervision, and has met the generally accepted standards of practice.

I declare under penalty of perjury in the second degree that the statements made in this application are true and complete to the best of my knowledge. False statements made herein are punishable by law and may constitute violation of the practice act.



Clinically Supervised Work Experience Hours Completed at Time of Application:

- CAT 1,000 hours of DIRECT clinical supervised addiction work experience supervised by a CAS or LAC that cannot be completed in fewer than six months.

 (Does not require DORA registration prior to the 1000 hours. Once these hours are met, the individual is not able to perform duties until the CAT is officially approved).
- CAS 2,000 hours of clinically supervised addiction work experience supervised by a CAS or LAC that cannot be completed in fewer than twelve months. This may include the hours required for certification as a CAT.
- LAC 3,000 hours of clinically supervised addiction work experience by a LAC or other qualified supervisor.*

*Other qualified supervisor means a behavioral health professional with demonstrated competence equivalent to a LAC in addiction treatment by education and experience, clinical Master's degree or above, and documented minimum of 3,000 hours of addiction counseling work experience.

Clinical Supervision Required

Credential Working Towards	High School/GED Completion: Hours per month** of Supervision Required	Bachelor's Degree conferred: Hours per month** of Supervision Required*	Master's Degree Conferred: Hours of Supervision Required*	Master's level students enrolled in an internship or practicum
CAT	3	3	n/a	n/a
CAS	n/a	3	2	2
LAC	n/a	n/a	2	2

^{*} If degree is not yet conferred, supervision hours per month must equal 3 hours for full time work.
**See rules for prorating less than full-time work.



National Exam Requirement

All applicants for a CAT, CAS and LAC are required to take and pass a NAADAC national examination approved by OBH. Proof of passing the exam must be submitted to DORA with the certification/licensure application. A national examination taken and passed has an expiration date of **five (5) years** prior to the received date of application to DORA.

NAADAC Examinations

OBH endorses the use of three examinations sponsored by NAADAC:

- NCAC I: National Certified Addiction Counselor, Level I (used for CAT requirement)
- NCAC II: National Certified Addiction Counselor, Level II (used for CAS requirement)
- MAC: Master Addiction Counselor (Master's level only)
 (used for LAC requirement)

CAAP's website, <u>www.caap.us</u>, has options for preparing for the national exams to include inperson workshops, online classes, and study guides that may be purchased. To take one of the national exams, register and make payment through CAAP on their website.

Recommendations:

- Those individuals who have graduated <u>with</u> a **clinical** Master's degree or above should take the MAC regardless of whether they apply for a CAS or LAC.
- Selection of which national examination should be taken depends on the applicant's professional development plan, level of academic degree, and long-term career goals.

Reminder: There is no national credential awarded or needed when applying to take one of these NAADAC sponsored exams. Successfully passing the required examination for State of Colorado requirements does not constitute national certification from NAADAC.



DORA Database Listing Requirements for Addiction Counselors

The Colorado Mental Health Practice Act is the law that regulates and defines the practice of psychotherapy in Colorado. The law states that all persons who engage in activities that meet the psychotherapy practice definition must be regulated by the DORA. Regulation means holding a Colorado clinical behavioral health credential awarded by DORA, either by certification or licensure.

First 1000 hours:

- ✓ Individuals working towards CAT do not have to register with DORA prior to submission of application.
- ✓ Once the 1000 hours are met, the individual is not able to count hours or perform duties until the CAT is officially approved
- ✓ No new applications for Registered Psychotherapist (now Unlicensed Psychotherapist) are being accepted by DORA as of July 14, 2020

Addiction Counselor Candidate:

- ✓ By Endorsement: One year for candidate with an active addiction credential from another state to meet the requirements for level applying for (CAT, CAS or LAC)
- ✓ Master's or Doctorate Student: Four years to meet requirements for LAC (or be registered in another Mental Health category)

Exemptions: Pursuant to § 12-43-215(8), C.R.S., employees of community mental health centers are exempted from the database registration requirement. More information is available at https://dpo.colorado.gov/AddictionCounselor/Laws

Remember: At any point in time when an individual engages in activities that meet the definition of psychotherapy practice, regardless of status, they must be listed and regulated by DORA.



Online Jurisprudence Exam

All original applications submitted to DORA must be accompanied by the "passing results report" of the most recent version of the **Online Jurisprudence exam** located on DORA's website. Individuals renewing a certification/license are not required to take the online jurisprudence exam. The Mental Health Jurisprudence Examination results are valid for **one** (1)-year from the date of the Results Report, after which they expire. An applicant must retake the examination if their Results Report has expired.

Test items are weighted according to DORA's rating of importance. Test results are calculated and reported on a scale of 200 to 800. A minimum scaled score of 500 is needed to pass. Below are the proportion of exam points possible by content area:

- 1. General Mental Health Statutes (27%)
- 2. Confidentiality and Privilege (13%)
- 3. Prohibited Activities/Violations (16%)
- 4. Board Rules (19%)
- 5. Emergency Procedures (15%)
- 6. Disciplinary Provisions/Proceedings (10%)

The following is a general list of documents that may assist with the online jurisprudence exam:

- Online Jurisprudence Exam Information (DORA)
- Online Test User Guide (DORA)
- Colorado Mental Health Practice Act (most current year)
- OBH Rules and Regulations, 2 CCR 502-1, Addiction Counselor Certification and Licensure (most current version)
- DORA State Board of Addiction Counselor Examiners Rules
- Addiction Counselor Board Rules (4 CCR 774-1)
- Registered Psychotherapists Rules and Regulations (02-2012)
- Mandatory Disclosure Form (DORA, most current version)
- Consent for Release of Information
- NAADAC Code of Ethics (10-2016)
- Reporting Suspected Child Abuse (CRS § 19-3-304)
- Healthcare Professions Profile Director Rule 1 (07-2011)
- Michael Skolnik Medical Transparency Act (§ 24-34-110)
- M-1 Emergency Mental Illness Report (§ 27-65-105)

DORA website:

https://dpo.colorado.gov/AddictionCounselor

The Mental Health Practice Act can be found in the Colorado Revised Statutes, Title 12, Article 43. https://dpo.colorado.gov/AddictionCounselor/Laws

Additional information available on the Office of Behavioral Health (OBH) website: https://cdhs.colorado.gov/behavioral-health/workforce-development



Training Requirements Summary for CAT

(HS diploma/GEI

Clinically Supervised Work Experience Hours: 1000 (does not require DORA registration prior to the 1000 hours. Once these hours are met, the individual is not able to perform duties until the CAT is officially approved)

Pass the NCAC I Exam

Pass the Jurisprudence Exam

Nine (9) addiction specialty courses Addiction Counseling Skills (21 hrs)

Case Conceptualization and Documentation (14 hrs) (aka Client Record Management)

Principles of Addiction Treatment (21 hrs)

Ethical Practice in Addiction Treatment (14 hrs) (aka Professional Ethics I: Ethics and Jurisprudence)

Culturally Informed Treatment (14 hrs)

Pharmacology I (14 hrs)

Trauma-Informed Care for Diverse Populations (14 hrs)

Motivational Interviewing (21 hrs)

Prerequisite: Addiction Counseling Skills

Group Counseling Skills (21 hrs)

Prerequisite: Addiction Counseling Skills



Training Requirements Summary for CAS (must meet requirements for CAT)

Behavioral Health Bachelor Degree Non-conforming Bachelor's degree approval will be decided at the discretion of the Addiction Board	Bachelor's degree: Behavioral Health specialty (Psychology, Social Work, Human Services)	Bachelor's degree or higher in Substance Use Disorders/Addiction and/or related counseling subjects (social work, mental health counseling, marriage & family, psychology) from a regionally accredited institution of higher learning.
	Approved to provide Clinical Supervision and consultation to individuals working towards CAT or CAS	
	Clinically Supervised Work Experience Hours • 2,000 direct clinically supervised hours (1000 additional direct clinical hours beyond the CAT) Pass the NCAC II exam Pass the jurisprudence exam	Eleven (11) specialty courses Cognitive Behavioral Therapy (14 hrs) Co-occurring Disorders (14 hrs) Advanced Treatment Models (14 hrs) Treating Diverse Populations (14 hrs) (aka Specialized Addiction Treatment) Infectious Diseases in Addiction Treatment (14hrs) Professional Practice (7 hrs) Clinical Supervision I (21 hrs) Clinical Supervision II (14 hrs) Prerequisites: Advanced Motivational Interviewing and Clinical Supervision I Advanced Professional and Ethical Practice (14hrs) (aka Professional Ethics II, Professional Practice) Advanced Motivational Interviewing (14 hrs) Prerequisite: Motivational Interviewing Advanced Case Conceptualization (14 hrs) (aka Clinical Assessment and Treatment Planning) Prerequisite: Case Conceptualization and Documentation (aka Client Record Management) Advanced Pharmacology (14 hrs) (aka Pharmacology II) Prerequisite: Pharmacology I



Training Requirements for LAC (must meet requirements for CAT, CAS)



Education Equivalency for Addiction Counselor Certification

When an applicant submits college courses on an application to the Center for Credentialing &Education (CCE) in lieu of one of the required training classes, it is the applicant's responsibility to demonstrate how their college course is equivalent to the Office of Behavioral Health (OBH) approved core competencies for that class. They are advised to compare the class syllabus to the core competency for the CAC required class. Anyone wishing to use college equivalents must submit the following to CCE (please be advised this process can take 4-6weeks):

- An official transcript from an accredited college or university in a sealed envelope.
 The courses must be in a behavioral health science or field. Each college class being offered as the equivalent of a CAC required class must have a grade of C or above.
- A cover letter with an attached syllabus and a written description about why the college class is equivalent to the CAC required class.
- A college course must offer college credits that are equivalent or greater than the number of clock hours required for the OBH training class.

Additional information is available on the CCE website: http://www.cce-global.org/Assets/StateLicensure/COappAddictionCounselors.pdf

College equivalency hours:

- One semester credit hour = 15 clock hours
- Two semester credit hours = 30 clock hours
- Three semester credit hours = 45 clock hours
- One quarter credit hour = 8.5 clock hours
- Two quarter credit hours = 17 clock hours
- Three guarter credit hours = 25.5 clock hours

CAC required courses that must be taken (No college course equivalency):

- Principles of Addiction Treatment (21 hrs)
- Infectious Diseases in Addiction Treatment (14 hrs)
- Pharmacology I (14 hrs)
- Advanced Pharmacology (aka Pharmacology II) (14 hrs)
- Ethical Practice in Addiction Treatment (14 hrs) (aka Professional Ethics I: Ethics and Jurisprudence)
- Advanced Professional and Ethical Practice (14hrs) (aka Professional Ethics II, Professional Practice)
- Clinical Supervision I (21 hrs)
- Clinical Supervision II (14 hrs)



CAC required courses that <u>may</u> have college equivalency (See core competencies posted on the OBH website)

https://cdhs.colorado.gov/behavioral-health/workforce-development (Click on Certified Addiction Counselor Clinical Training Program, click on Core Competencies for CAC Required Training Classes)

- Addiction Counseling Skills (21 hrs)
- Case Conceptualization and Documentation (aka Client Record Management) (14 hrs)
- Culturally Informed Treatment (14 hrs)
- Motivational Interviewing (21 hrs)
- Advanced Motivational Interviewing (14 hrs)
- Cognitive-Behavioral Therapy (14 hrs)
- Advanced Case Conceptualization (14 hrs)
 (aka Clinical Assessment and Treatment Planning)
- Co-Occurring Disorders (14 hrs)
- Group Counseling Skills (21 hrs)
- Trauma Informed Care for Diverse Populations (14 hrs)
- Advanced Treatment Models (14 hrs)
- Treating Diverse Populations (14 hrs) (aka Specialized Addiction Treatment)



Course Descriptions of the Required CAC Clinical Training Program Courses

Required courses for CAT

Addiction Counseling Skills, Case Conceptualization and Documentation (aka Client Record Management), and Principles of Addiction Treatment are the three courses that make up the Core Curriculum and are basic to the foundational training of a beginning addiction counselor.

Addiction Counseling Skills (21 hrs)

Along with Principles of Addiction Treatment and Case Conceptualization and Documentation (aka Client Record Management), Addiction Counseling Skills is considered to be part of the "Core Curriculum" and basic to the foundational training of an addiction counselor. The purpose of this class is to provide a framework and counseling model for working with clients with substance misuse and addiction. The focus of this course is to teach the counseling skills needed to help clients process their information and move toward the change process. The models utilized are client-centered, counselor-directed therapy along with motivational spirit of counseling. Recording and feedback will assist the participants in developing a standard level of counseling skills proficiency.

Case Conceptualization and Documentation (14hrs) (aka Client Record Management)

Along with Principles of Addiction Treatment and Addiction Counseling Skills, Case Conceptualization and Documentation (aka Client Record Management), is considered to be part of the "Core Curriculum" and basic to the foundational training of an addiction counselor. This class is designed to provide the counselor with an understanding of the clinical record and the continuum of client care that the record documents and tracks. The class presents screening, basic assessment and evaluation, Substance Use Disorder diagnostic criteria, ASAM patient placement criteria, introduction to treatment planning, progress note completion, documentation requirements and basic referral and discharge planning. It emphasizes the confidentiality of the client record and includes releases of information, mandatory disclosure and informed consent among others.

• Culturally Informed Treatment (14 hrs)

This class will provide a basic foundation for understanding how cultural competence, awareness, and sensitivity can improve quality of care and increase positive outcomes. The professional counselor has an obligation to understand the client's context and learn to communicate in a manner that the client in likely to be able to hear, understand and accept. The counselor must have the courage and insight to explore their own biases and misconceptions of others with cultural and ethnic differences. Cultural variables to be considered will include age, gender, sexual orientation, religious affiliation, language, educational level, physical ability, economic status and social class as well as racial and ethnic backgrounds, all of which can be influential in the therapeutic process. Individual differences when considered in a cultural context can become strengths and resources for recovery.



• Ethical Practice in Addiction Treatment (14 hrs) (aka Professional Ethics I: Ethics and Jurisprudence)

This training will focus on professional and ethical issues specific to the practice of addiction counseling and the treatment of co-occurring disorders. This training will build and strengthen the trainee's knowledge of NAADAC's Code of Ethics, and federal and state rules and regulations that are essential for safe practice. In this training, trainees will be exposed to the Colorado Mental Health Practice Act including the prohibited activities,42 CFR Part 2, and HIPAA that regulate the practice of psychotherapy including addiction counseling. Trainees will learn about the important roles and activities of OBH (Office of Behavioral Health) and DORA (Department of Regulatory Agencies). In this training, trainees will learn the process of certification and credentialing to legally practice in Colorado. There will be an emphasis on developing critical thinking and ethical decision-making skills. Trainees will learn about the differences between privacy and confidentiality, and exceptions to confidentiality.

• Group Counseling Skills (21 hrs)

(Prerequisite: Addiction Counseling Skills)

Group therapy is a powerful tool in delivering addiction treatment services. Groups have many therapeutic elements and effects that draw people into the culture of recovery. Groups provide useful information, allow one or two therapists, depending on group size, to facilitate the group process which helps a number of clients simultaneously, and provides positive peer support and pressure for recovery. This class will help the aspiring addiction counselor to understand the use of group therapy in the addiction treatment field and be able to demonstrate the skills necessary to facilitate a therapy group. The class will focus on the group process and will discuss diversity within groups as well as the many challenges a group leader may face. The class will also present models of effective group practice.

Motivational Interviewing (21 hrs)

(Prerequisite: Addiction Counseling Skills)

"Motivational interviewing is a client-centered collaborative style of conversation designed to strengthen a person's motivation for and commitment to change. MI is counselor-guided in that the counselor is skilled at managing ambivalence, eliciting change-talk and honoring the client's autonomy about taking the next step toward a commonly agreed-upon goal" (Miller & Rollnick, 2014). Over 1500 studies to date have demonstrated the effectiveness of motivational interviewing at increasing client engagement, retention, compliance and improving treatment outcomes. Motivational Interviewing is an evidenced-based counseling approach used to assist individuals to identify their readiness, willingness, and ability to make change. The CAC Clinical Training Program at the Colorado Office of Behavioral Health (OBH) is committed to a process of integrating the Motivational Interviewing style, spirit and strategies into all levels of training and to support clinical supervision of motivational interviewing as a counseling style.

Pharmacology I (14 hrs)

Training in pharmacology is a cornerstone of addiction counseling and a major part of what differentiates the professional field of addiction counseling from other mental health disciplines. This class will provide a solid base of knowledge about the drugs of abuse including what is happening in human physiology and behaviors.



Principles of Addiction Treatment (21 hrs)

Along with Addiction Counseling Skills and Case Conceptualization and Documentation (aka Client Record Management), Principles of Addiction Treatment is considered to be part of the "Core Curriculum" and basic to the foundational training of an addiction counselor. This class presents the models of addiction, defines and outlines various types of addiction treatment, presents the National Institute of Drug Abuse (NIDA) principles of effective treatment and reviews the use of evidence-based treatment approaches. The competencies required of an addiction counselor and scope of practice will be reviewed along with applicable rules and professional readiness.

• Trauma Informed Care (14 hrs)

Trauma Informed Care is a treatment framework that involves understanding, recognizing and responding to the effects of all types of trauma. The clinical shift is from "what's wrong with you" to "what happened to you." The need to address trauma is increasingly viewed as an important component of effective behavioral health service delivery. This class will introduce the counselor to the concept of trauma-informed care, and define ways in which a traditional treatment setting can be modified to increase and individual's sense of safety within this setting. Participants will learn how to incorporate trauma-informed practices into substance use disorder treatment with diverse populations, such as military veterans, gender specific, people with co-occurring disorders, persons involved in the criminal justice system, etc. Although trauma treatment approaches will be considered, this class will not teach how to do trauma specific treatment.

Required classes for CAS:

Advanced Case Conceptualization (14 hrs)

(aka Clinical Assessment and Treatment Planning)

(Prerequisite is Case Conceptualization and Documentation, aka Client Record Management) Client motivation and commitment to treatment begins with the assessment and diagnostic phase that typically occurs at intake. This course will consider the differences between screening and assessment and use of assessment instruments. The class will consider the components of the clinical assessment such as a biopsychosocial interview, assessing risk for self-harm, identifying cultural needs and supports, problem domains, determining stage of readiness for change and strengths of the client. Determining diagnosis utilizing the DSM 5 and resulting level of care for the client will be discussed using the ASAM Criteria. Stages of treatment and systems of care will be covered along with facets of treatment planning.

Advanced Motivational Interviewing (14 hrs)

(Prerequisite is Motivational Interviewing)

This two-day class of skill development in Advanced Motivational Interviewing will initially offer a brief review of the fundamental spirit, processes and skills of MI, and then focus intensively on clinical skills development. The training is designed to assist the counselor to develop and practice skills to assist clients to identify and strengthen intrinsic motivation for positive change. This is an advanced course in Motivational Interviewing so it is expected that the participant will be able to demonstrate the foundational MI skills by conducting a client interview using the OARSI, and responding to no-change talk (resistance). The format will be interactive and experiential in nature. Participants will be afforded an opportunity to obtain



focused practice and will receive individualized feedback regarding their MI skills with student and trainer ratings.

Advanced Pharmacology (14 hrs)

(aka Pharmacology II)

(Prerequisite is Pharmacology I)

Neuroscience and genetic research on addiction continues to make significant progress toward understanding the changes in the brain that underlie drug use and behaviors. The research has the potential to significantly affect both the way in which we think about addiction, and those that suffer from it. Addictive drugs have habit-forming actions that can be localized to a variety of brain regions that can activate the reward circuitry in the brain. This class will explore the neurobiological basis of addiction and how addictive drugs produce neurochemical changes in the brain's reward pathway. It will also explore new technologies for treatment and prevention based on brain science. Additionally, functional changes to the brain and the brain's ability to recover after exposure to drugs of abuse, medical problems associated with substance abuse, and the use of pharmacological assists in recovery will be discussed.

Advanced Professional and Ethical Practice (14 hrs) (aka Professional Ethics II and Professional Practice)

(Prerequisite is Ethical Practice in Addiction Treatment)

This two-day training addresses organizational ethics and practices, individual provider ethics and practices, and guidelines for setting up a private practice. Topics will include OBH licensing rules; OBH behavioral health rules and regulations; practice standards and guidelines; DORA and the Mental Health Practice Act; the purpose of and the need for written policies and procedures; professional competencies, boundaries and ethical relationships; reporting violations; employee drug testing; liability insurance; clinical versus administrative supervision; the ethical delivery of culturally-responsive care and trauma-informed care; and the need for a professional self-care plan. This training builds on the Ethical Practice in Addiction Treatment training as well as the clinical supervision trainings.

Advanced Treatment Models (14 hrs)

This class is designed to provide an overview of the specialized models of counseling for behavioral health environment that provide services to co-occurring mental health and addiction interventions. The purpose of this class is to provide information and instruction into those advanced models so that clinical supervisors will have the basic knowledge required to provide adequate support and supervision for these models. The focus of this course is to teach the structure, purpose, appropriate population, and practice details of each model that is covered in the training.

Clinical Supervision I (21 hrs)

Clinical supervision is the process by which the clinical practice provided by the counselor is evaluated and either modified or approved by the supervisor, providing a bridge between the classroom and the clinical work. Clinical supervision is a central organizing activity that integrates the program mission, goals and treatment philosophy with clinical theory and evidence-based practices. This class prepares the addiction counselor with basic supervision tools and models to use as a standard of practice to include: models of supervision, counselor developmental level, cultural and contextual factors, ethical and legal issues, monitoring performance of the supervisee, methods of observation, practice issues in clinical supervision, and



documentation issues. The CAC Clinical Training Program is committed to a process of improving the quality of client care and developing the professionalism of clinical personnel. This three-day class includes demonstration skills practice and critique.

Clinical Supervision II (14 hrs)

(Prerequisites are Advanced Motivational Interviewing & Clinical Supervision I) Motivational Interviewing (MI) is an evidence-based tool used intentionally along the entire continuum of care from prevention through recovery, in the work of substance use and process addictions. The key to supporting the consistent use of the Motivational Interviewing (MI) model in counseling and service provision is supervisory coaching and feedback. The aim of this training is to teach clinicians and other service providers who already have acquired MI skills, and who have or will have a supervisory role, how to observe and coach their supervisees in the proper application of these skills in diverse and integrated care environment. MITI 4.2.1 (Motivational Interviewing Treatment Integrity Coding Manual 4.2.1 is a template provided by MINT (https://motivationalinterviewing.org/motivational-interviewing-resources) for training supervisees to use MI in joining and engaging the client and improving client commitment to treatment during and following screening and assessment. Using the framework of this scoring and feedback technique, training participants will practice effective coaching and supervisory skills to help team leaders, managers and supervisors work with their staff to incorporate their MI skills more often and consistently. There will be an emphasis on MI interview rating systems and general interview rating etiquette. Participants will discuss how to use feedback to coach supervisees, practical guidelines for MI supervision, how to code in a manner that enhances clinical supervision and implementation considerations.

• Cognitive Behavioral Therapy (CBT) (14 hrs)

Cognitive-behavioral therapy (CBT) is a treatment approach that has gained widespread application in the treatment of addictions and mental disorders. Several important features of CBT make it particularly promising as a treatment for substance abuse and dependence. CBT has been extensively researched and has solid empirical support as an evidence-based treatment for addictions. CBT is structured, goal-oriented, and focused on the immediate problems faced by substance abusers entering treatment who are struggling to control their substance use. It is a flexible, individualized approach that can be adapted to a wide range of clients and a wide range of treatment settings and formats. CBT works well with individuals as well as group therapy. Participants in this course will learn and practice effective ways to integrate tools specific to CBT in a comprehensive treatment strategy.

Co-Occurring Disorders (14 hrs)

This class presents the basics of working with clients with co-occurring mental health and substance use disorders. There is a growing need to recognize and address the interrelationship of these disorders, along with the medical implications for the client, through the use of specialized approaches and integration of treatment. This class will address clinical assessment, treatment philosophy, strategies, and guidelines to provide integrated treatment with co-occurring disorders. It will include an introduction to the DSM-5 diagnostic criteria for the mental disorders most often seen with substance use and behavioral addiction disorders, along with a discussion of the changes to substance use diagnoses with DSM-5. The essential values, attitudes, and competencies of the counselor working with this population are discussed.



Infectious Diseases in Addiction Treatment (14 hrs)

Drug and alcohol abuse can increase an individual's susceptibility to contract and spread infectious diseases, including STDs and blood borne illnesses. Drug use is linked to risky behaviors such as needle sharing and unsafe sex and can also weaken the immune system. This combination greatly increases the likelihood of contracting HIV, hepatitis, and other infectious diseases. Understanding of infectious diseases that might affect individuals who are addicted to alcohol and other substances is a cornerstone of addiction counseling. This class will help prepare addiction professionals to identify such diseases, determine individual risk for infection, educate individuals about disease prevention and treatment options, and assist individuals in obtaining appropriate treatment as needed.

• Treating Diverse Populations (14 hrs)

Addiction counselors work to improve people's lives by identifying and treating the effects of substance abuse disorders. Addiction counselors may work with people from the general public or they may specialize in specific populations, such as gender specific, persons involved in the criminal justice system, families, LGBTQIA, veterans and the opioid overuse epidemic. This course will provide a more in depth overview of the diverse populations in the addiction treatment realm.